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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	
				Filing Date	
				First Named Inventor	Dingjun Wu, et al.
				Art Unit	
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	06469 USA

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
<i>7/22/04</i>		US- 2001/0011526 A1	8/9/2001	K. Doering, et al.	
<i>7/22/04</i>		US- 2001/0055852 A1	12/27/2001	T. S. Moise, et al.	
<i>7/22/04</i>		US- 5,288,662	2/24/1994	A. Lagendijk, et al.	
<i>7/22/04</i>		US- 5,298,075	3/29/1994	A. Lagendijk, et al.	
<i>7/22/04</i>		US- 5,356,478	10/18/1994	C. Chen, et al.	
<i>7/22/04</i>		US- 5,454,903	10/3/1995	F. C. Redeker, et al.	
<i>7/22/04</i>		US- 5,756,400	5/26/1998	Y. Ye, et al.	
<i>7/22/04</i>		US- 5,879,459	3/9/1999	P. N. Gadgil, et al.	
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		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
<i>7/22/04</i>		EP 1 001 459 A2	5/17/2000	Europe		✓
<i>7/22/04</i>		WO 00/40772	7/13/2000	World		✓
<i>7/22/04</i>		WO 00/79019 A1	12/28/2000	World		✓
<i>7/22/04</i>		WO 02/43114 A2	5/30/2002	World		✓
<i>7/22/04</i>		WO 02/43115 A2	5/30/2002	World		✓

Examiner Signature	<i>[Signature]</i>	Date Considered	<i>9/22/04</i>
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>JSZ</i> 9/22/04		K. K. Shih, "Hafnium Dioxide Etch-Stop Layer for Phase-Shifting Masks," J. Vac. Sci. Technol. B 11(6), pp. 2130-2131 (1993).	✓
<i>JSZ</i> 9/22/04		J. A. Britten, "Etch-Stop Characteristics of Sc ₂ O ₃ and HfO ₂ Films for...", J. Vac. Sci. Technol. A 14(5), pp. 2973-2975 (1996).	✓
<i>JSZ</i> 9/22/04		J. Hong, "Comparison of Cl ₂ and F ₂ Based Chemistries for the...", J. Vac. Sci. Technol. A 17(4), pp. 1326-1330 (1999).	✓
<i>JSZ</i> 9/22/04		J. W. Lee, "Electron Cyclotron Resonance Plasma Etching of Oxides...", J. Vac. Sci. Technol. A 16(3), pp. 1944-1948.	✓
<i>JSZ</i> 9/22/04		W. G. M. van den Hoek, "The Etch Mechanism for Al ₂ O ₃ in Fluorine and Chlorine Based RF Dry Etch Plasma," Mat. Res. Soc. Symp. Proc., 68, pp. 71-78 (1986).	✓
<i>JSZ</i> 9/22/04		J. E. Spencer, et al., "Emission Spectroscopy of CC14 and BC13 Plasma During Aluminum Etching," Proceedings—Electrochemical Society, 82-7, pp. 103-107 (1982).	✓
<i>JSZ</i> 9/22/04		T. Kanninen, et al., "Growth of Dielectric HfO ₂ /Ta ₂ O ₅ Thin Film Nanolaminate Capacitors by Atomic Layer Epitaxy," Proceedings—Electrochemical Society, 97-31, pp. 36-46 (1998).	✓
<i>JSZ</i> 9/22/04		H. B. Bell, et al., "Reactive Ion Etching of Aluminum/Silicon in BBr ₃ /Cl ₂ and BCl ₃ /Cl ₂ Mixtures," Journal of Electrochemical Society, 135(5), pp. 1184-91 (1988).	✓
<i>JSZ</i> 9/22/04		Y. S. Lee, et al., "Mass Spectrometric Characterization of BCl ₃ /SF ₆ Plasmas," Journal of Applied Physics, 88(8), pp. 4507-4509 (1980).	✓
<i>JSZ</i> 9/22/04		N. Heiman, et al., "High Rate Reactive Ion Etching of Al ₂ O ₃ and Si," J. Vac. Sci. Technol., 17(3), pp. 731-734 (1980).	✓
<i>JSZ</i> 9/22/04		K. Shibata, et al., "Manufacturing Method and its Equipment of Thin Film Magnetic Head," Japanese Patent Application JP2000251221A (2000).	✓
<i>JSZ</i> 9/22/04		J. Chen, et al., "Formation of Polycrystalline Silicon Germanium/HfO ₂ Gate Stack Structure Using Inductively Coupled Plasma Etching," J. Vac. Sci. Technol. A 21(4), pp. 1210-1217 (2003).	✓

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